Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA’s operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA’s mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families.

Applicability. Form HUD-50075-SM is to be completed annually by Small PHAs. PHAs that meet the definition of a Standard PHA, Troubled PHA, High Performer PHA, HCV-Only PHA, or Qualified PHA do not need to submit this form.

Definitions.

1. **High-Performing PHA** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both of the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments.

2. **Small PHA** – A PHA that is not designated as PHAS or SEMAP troubled, or at risk of being designated as troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceeds 550.

3. **Housing Choice Voucher (HCV) Only PHA** – A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment, and does not own or manage public housing.

4. **Standard PHA** – A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceeds 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.

5. **Troubled PHA** – A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.

6. **Qualified PHA** – A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined, and is not PHAS or SEMAP troubled.

### A. PHA Information.

<table>
<thead>
<tr>
<th>A1</th>
<th>PHA Name: Housing Authority of the City of Aurora</th>
<th>PHA Code: CO052</th>
</tr>
</thead>
<tbody>
<tr>
<td>PHA Type:</td>
<td>Small</td>
<td>High Performer</td>
</tr>
<tr>
<td>PHA Plan for Fiscal Year Beginning:</td>
<td>1/2020</td>
<td></td>
</tr>
<tr>
<td>PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above)</td>
<td>Number of Public Housing (PH) Units: 4</td>
<td>Number of Housing Choice Vouchers (HCVs): 1215</td>
</tr>
<tr>
<td>Total Combined:</td>
<td>1219</td>
<td></td>
</tr>
<tr>
<td>PHA Plan Submission Type:</td>
<td>Annual Submission</td>
<td>Revised Annual Submission</td>
</tr>
</tbody>
</table>

**Availability of Information.** In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA policies contained in the standard Annual Plan, but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.

Copies of the Annual PHA Plans are kept at the reception desk of the main office of the Housing Authority of the City of Aurora (AHA) (2280 S Xanadu Way, Aurora CO 80014) for public review. In addition, Annual PHA Plans can be found on AHA’s website.
PHA Consortia: (Check box if submitting a Joint PHA Plan and complete table below)

<table>
<thead>
<tr>
<th>Participating PHAs</th>
<th>PHA Code</th>
<th>Program(s) in the Consortia</th>
<th>Program(s) not in the Consortia</th>
<th>No. of Units in Each Program</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lead PHA:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

B. Annual Plan Elements Submitted with 5-Year PHA Plans. Required elements for all PHAs completing this document in years in which the 5-Year Plan is also due. This section does not need to be completed for years when a PHA is not submitting its 5-Year Plan. See Section C for required elements in all other years (Years 1-4).

B.1 Revision of PHA Plan Elements.

(a) Have the following PHA Plan elements been revised by the PHA since its last Five-Year PHA Plan submission?

<table>
<thead>
<tr>
<th>Y</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions.</td>
<td></td>
</tr>
<tr>
<td>Financial Resources.</td>
<td></td>
</tr>
<tr>
<td>Rent Determination.</td>
<td></td>
</tr>
<tr>
<td>Homeownership Programs.</td>
<td></td>
</tr>
<tr>
<td>Substantial Deviation.</td>
<td></td>
</tr>
<tr>
<td>Significant Amendment/Modification</td>
<td></td>
</tr>
</tbody>
</table>

(b) The PHA must submit its Deconcentration Policy for Field Office Review.

Per 24 CFR § 903.2(b)(2)(i), a deconcentration policy is not required since AHA does not have 100 or more units of public housing. Please see attachment A for AHA’s Selection and Admissions policy for the HCV program.

(c) If the PHA answered yes for any element, describe the revisions for each element below:

B.2 New Activities.

(a) Does the PHA intend to undertake any new activities related to the following in the PHA’s current Fiscal Year?

<table>
<thead>
<tr>
<th>Y</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hope VI or Choice Neighborhoods.</td>
<td></td>
</tr>
<tr>
<td>Mixed Finance Modernization or Development.</td>
<td></td>
</tr>
<tr>
<td>Demolition and/or Disposition.</td>
<td></td>
</tr>
<tr>
<td>Conversion of Public Housing to Tenant Based Assistance.</td>
<td></td>
</tr>
<tr>
<td>Conversion of Public Housing to Project-Based Assistance under RAD.</td>
<td></td>
</tr>
<tr>
<td>Project Based Vouchers.</td>
<td></td>
</tr>
<tr>
<td>Units with Approved Vacancies for Modernization.</td>
<td></td>
</tr>
<tr>
<td>Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).</td>
<td></td>
</tr>
</tbody>
</table>
(b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project based units and general locations, and describe how project basing would be consistent with the PHA Plan.

B.2 Continued:

Conversion of 4 Public Housing Units at Village at Westerly Creek 3:
- AHA plans to work with HUD to reposition the 4 Public Housing units at Village at Westerly Creek 3 by awarding residents currently residing in those units with Tenant Protection Vouchers. The units at Village at Westerly Creek 3 that will be repositioned are:
  a. 10925 E. Kentucky Ave, Unit 102, Aurora, CO 80012
  b. 10925 E. Kentucky Ave, Unit 103, Aurora, CO 80012
  c. 10925 E. Kentucky Ave, Unit 104, Aurora, CO 80012
  d. 10925 E. Kentucky Ave, Unit 105, Aurora, CO 80012

- To convert the 4 units, AHA plans to submit a streamlined voluntary conversion plan to HUD as well as the necessary HUD forms. The plan will include what is outlined in Notice PIH 2019-05 (HA):
  - Propose the conversion plan at the public hearing
  - Board resolution
  - Input from the Resident Advisory Council
  - Local government review
  - Description of future use for the units
  - Environmental requirements
  - Impact Analysis
  - Relocation Activities
  - Close-Out Information

B.3 Progress Report.

Provide a description of the PHA’s progress in meeting its Mission and Goals described in the PHA 5-Year Plan.

A. PHA Goal: Expand the supply of assisted housing.

2015-2019 Strategies Progress Report:
- Awarded 32 VASH Vouchers; 10 will be project based at Peoria Crossing, AHA’s transit oriented, multi-family development
- Awarded 40 Mainstream Vouchers in 2018
- Awarded Tenant Protection Vouchers
B. PHA Goal: Improve the quality of assisted housing

Objective: Improve voucher management: (SEMAP score).

2015-2019 Strategies

- Continue to maintain Section 8 High Performer Status of 90% or better
- Continue to maintain high lease up of 95% of utilization or budget authority.
- Continue to successfully monitor budget authority and payment standard.

2015-2019 Strategies Progress Report:

- Continued to maintain Section 8 High Performer Status for every year of the 2015-2019, 5 Year Plan.
- Continued to assess the housing market annually and update payment standards as needed
- Finished demolishing Buckingham Gardens and replaced with Village at Westerly Creek campus, completed in 2018
- Through a competitive RFP process, awarded 9 Project Based Section 8 Vouchers to Brothers Redevelopment for their Paris Street Family Apartments project
- Provided 14 Project Based Section 8 Vouchers and 10 Project Based VASH vouchers to Peoria Crossing, AHA’s newest LIHTC development to be completed in October 2019

2. Objective: Increase customer satisfaction:

2015-2019 Strategies

- Create and Implement a Resident Satisfaction Survey for Assisted Housing and all AHA owned and operated properties. - Achieve at least a score of 80% in all categories (maintenance and repair, communication, safety, services and neighborhood appearance).
- Create strategies and training opportunities for Assisted Housing and Property Management to improve rating on the Resident Satisfaction Survey.
- Continue to facilitate and encourage resident participation in Resident Councils/Association to help drive AHA’s efforts in responding to resident concerns and interests.
- With the demolition of public housing at Buckingham Gardens, develop a new Resident Advisory Board.

2015-2019 Strategies Progress Report:

- Though Property Management made an effort to find a reliable vendor to conduct the survey, a survey was not conducted in 2015-2019. Moving forward, we would keep this survey opportunity on our next five year plan as we still do wish to put together this data if the options and costs are available in the future.
- Property Management continued to meet with residents in various forums to provide training, coaching, and forward strategies to receive complaints and suggestions for the categories as listed above (maintenance and repair, communication, safety, services, and neighborhood appearance.) This has included meetings with various local, state, and federal representatives, as well as classes held on site for understanding of hoarding disorders, mediation between neighbor disputes, and other opportunities we will continue to pursue.
- All Assisted Housing staff participated in customer service and team building training in
2015
• All Assisted Housing staff will be attending customer service and team building training in 2019
• Assisted Housing department continued ongoing bi-monthly trainings with Housing Specialists
• Community Builders at 4 family properties and 2 senior properties continue to work actively with Resident Councils at each property to respond to resident concerns and provide activities, events and presentations of interest to them.
• With demolition of Buckingham Gardens, leaving only 4 Public Housing units, a Resident Advisory Council was developed in lieu of a Resident Advisory Board. The Resident Advisory Council consists of representatives from the Resident Councils from 4 family properties and 2 senior properties as well as voucher representatives representing HCV, VASH and FUP programs.
• Property Management works with our Community Builder and Service Coordinators to pursue these associations, as well as responding to online forums on the topic.

3. Objective: Dispose and demolish obsolete public housing and provide replacement housing by March 2015.
2015-2019 Strategies
• The second and final round of elderly/disabled households living in units marked for demolition at Buckingham Gardens (Public Housing will be relocated in the first quarter of 2015 to Village at Westerly Creek, Building 2.
• Provide replacement vouchers for public housing
• Study the feasibility of utilizing public/private partnerships for the redevelopment of public housing in Aurora.

2015-2019 Strategies Progress Report:
• All public housing units at Buckingham Gardens were demolished and replaced with 194 units of affordable housing now known as the Village at Westerly Creek Campus, completed in 2018.
• Provided 56 tenant protection vouchers for elderly/disabled households residing in units marked for demolition at Buckingham Gardens
• Continued to work on acquiring existing multi-family developments to preserve existing naturally occurring affordable rental housing. Though a few offers were made in 2019, no new existing developments have been purchased.
• Identified vacant parcels of land to provide and expand affordable housing options and made an offer for a site.

C. PHA Goal: Increase assisted housing choices.
1. Objective: Conduct outreach efforts to potential voucher landlords.
2015-2019 Strategies
• Conduct Landlord fairs on a regular basis to retain current landlords and recruit additional landlords willing work with Section 8 residents.
• Identify the barriers to accepting Section 8 residents from a landlord’s perspective.
• Continue to identify mechanisms that expand housing choices to residents.
• Continue to provide Section 8 voucher mobility counseling.
• Increase voucher payment standards while staying within AHA’s budget authority

2015-2019 Strategies Progress Report:
• Conducted a landlord fair in 2015
• Provided resources for current and potential landlords
• Identified barriers for S8 residents from landlord’s perspective – in 2017, a graduate student completed a research paper on landlord perceptions of the Section 8 program for AHA
• Continuously provide portability options and guidance in accordance with HUD requirements
• With low vacancy rates during the period of the 2015-2019 5 Year Plan, many owners/property managers in the Metro Denver area started rejecting tenants with vouchers and rental assistance. AHA is working actively with City Council members to create a policy that prevent income discrimination to prevent voucher holders and rental assistance applicants from being rejected merely due to source of income (direct and indirect income).
• Completed VWC2 in 2015, completed VWC3 in 2018 which includes 4 public housing units for seniors
• Contracted a landlord recruiter to expand housing choices for participants in the Aurora@Home program (the City of Aurora’s homeless plan) as well as FUP and VASH participants.

D. PHA Goal: Promote self-sufficiency and asset development of assisted households

1. Objective - Attract supportive services to residents to improve assistance in life skills (e.g., career development, money management, resident rights and responsibilities, communication, parenting, etc.).

2015-2019 Strategies
• The Senior Community Builder who serves 3 senior and disabled properties (1 Public Housing and 2 Project-Based Section 8) will continue to identify resources to assist the senior and disabled residents to age-in-place and maintain their independence for as long as possible.
• The Family Community Builder who serves 4 AHA properties (2 Project-Based Section 8 and 2 Tax Credit) and Property Management staff will work closely together to identify needs around resident rights and responsibilities, money management, etc.

2015-2019 Strategies Progress Report:
• The Community Builders who serves 3 senior and disabled properties (2 Project-Based Section 8 and 1 Tax Credit that has 4 Public Housing units) and the Service Coordinator that serves 1 senior property that is Project Based, continued to identify resources to assist the senior and disabled residents to age-in-place and maintain their independence for as
long as possible.

- The Community Builders who serves 4 AHA family properties (2 Project-Based Section 8 and 2 Tax Credit) and Property Management staff continued to work closely together to identify needs around resident rights and responsibilities, money management, etc.
- Continued to partner and work closely with Arapahoe County Human Services in varying capacities to better serve our lower income populations living on AHA properties and being served by AHA through Aurora@Home.
- Continue to be affiliated with Denver Health’s Leadership Council for Non-Smoking in Multifamily Homes, and have connections with the Tri-County Health Department who has connected us with the Red Cross and similar organizations.

2. **Objective - Offer advocacy to residents increase resident access to mainstream benefits (e.g., TANF, food stamps, etc.).**

**2015-2019 Strategies**

- Continue the partnership between AHA and Arapahoe County Human Services, Children Youth and Family Services (the PCWA) around the 50 FUP vouchers AHA was awarded.
- Continue the working relationship with Arapahoe County Human Services and AHA’s Family Advocates (housing case managers) to guarantee resident access to mainstream benefits.
- Continue to provide advocacy services for residents in need of mainstream benefits through the Family and Senior Community Builder and the Senior Service Coordinator.
- Develop a closer working relationship with Adams County Human Services.
- Identify additional funding resources to expand life skills programming to FUP, Aurora Home, Family Rapid Re-Housing and Assisted Housing residents.

**2015-2019 Strategies Progress Report:**

- Continued the partnership between AHA and Arapahoe County Human Services, Children Youth and Family Services (the PCWA) around the 50 FUP vouchers AHA was awarded. Monthly meetings are held between Arapahoe County Case Workers and AHA Case Managers to do case conferencing, streamline referrals, etc. Quarterly meetings are held with staff and managers.
- Arapahoe County Department of Human Services and Community Development committed a .75 FTE Work Force Specialist to AHA in 2015. This staff person is embedded in AHA and while primarily assigned to Aurora@Home also assists families in the overall voucher program with special focus on FUP and VASH.
- Continued to provide advocacy services for residents in need of mainstream benefits through the Family and Senior Community Builder and the Senior Service Coordinator.
- Developed partnerships with Atlantis Community, Aurora Mental Health and Developmental Pathways to provide Mainstream voucher assistance to households with a disabled family member.
- Received a Wells Fargo Foundation grant that funded additional life skills programming to FUP, Aurora Home, and Family Rapid Re-Housing.
- Continued to do outreach to Adams County Human Services with the hope of developing a
E. PHA Goal: Ensure equal opportunity and affirmatively further fair housing

1. Objective - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status and disability.

2015-2019 Strategies

- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.
- Continue on-going efforts to educate and provide information to the general population and to landlords about fair housing.
- Conduct on-going training to educate staff around fair housing, reasonable accommodations and the American Disabilities Act.
- Build community ties with private and non-profit organizations to affirmatively further fair housing.

2015-2019 Strategies Progress Report:

- Ensured accessible housing to persons with all varieties of disabilities regardless of unit size required through Mainstream Vouchers.
- We continue to follow our affirmative fair housing marketing plans at properties in order to try and inform as many organizations and sites that cater to those individuals with disabilities to inform them of upcoming housing opportunities at our projects, as well as working to emphasize and prioritize our reasonable accommodation and modification program to be visible and available for those eligible.
- Conducted ongoing trainings to educate staff around fair housing, reasonable accommodations, ADA - each Department conducted ongoing trainings to educate staff around fair housing, reasonable accommodations, ADA.
- Conducted HQS inspections to ensure health and safety for all AH participants.
- In 2017, completed Section 504 audit and transition plan for all AHA properties and main office.
- In 2019, completed a Section 504 Policy and Language Assistance Plan to be utilized in all AHA departments (i.e., Assisted Housing, Development, Family Services and Property Management).

2. Objective - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability.

2015-2019 Strategies

- Continue conducting HQS inspections to insure health and safety for all assisted housing participants.
- Provide ongoing training for all property management and maintenance staff in fair housing.
• Perform reviews monthly within different AHA departments to identify and develop best practices around fair housing.
• Address concerns immediately and assure that procedures are in place to address these concerns for the resident’s well-being.
• Perform monthly reviews of demographics for any cancelled/denied/disinterested applicants to prevent any potential bias.

2015-2019 Strategies Progress Report:
• Continued conducting HQS inspections to insure health and safety for all assisted housing participants.
• Training for all Property Management and Maintenance individuals happens in-depth at their hire, and then quarterly for updates and testing on their knowledge, plus an annual class.
• Performed monthly/quarterly quality control reviews
• The Compliance Manager maintains thorough records of all Fair Housing-related concerns, as well as requests, and is constantly reviewing interactions for details on this issue.
• Performed monthly reviews of demographics, found them in line with local demographics as well as expressed reasons in our admissions and occupancy policies. Our exceptions and policies for overrides are carefully documented when they occur.

F. PHA Goal: Provide staff and commissioners with the tools necessary to effectively and efficiently execute their roles & responsibilities. Provide funding and access to local and national trainings and conferences.

1. Objective - Continue to provide in-house training on a regular basis and access to the necessary resources

2015-2019 Strategies
• Continue following, updating and reviewing the compliance calendar to keep track of all reports required to investors as well as local, state, and federal funder to identify event and to assign them to staff members for completion.
• Continue investing in the training of staff and members of the Board of Commissioners both by providing in-house and off-site training opportunities.
• Provide cross-training opportunities between departments.
• Continue maintaining all office equipment as needed (office furniture, computers, software, etc.).
• Explore the possibility of converting Section 8 files and procedures to a digital format.

2015-2019 Strategies Progress Report:
• Property Management staff and Finance Department, monitor and update the compliance calendar to keep track of all reports required to investors as well as local, state, and federal funder to identify event and to assign them to staff members for completion.
• AHA staff members in all Departments are encouraged to participate in trainings and conferences when applicable to guarantee AHA programs are utilizing best practices.
  o Family Services case managers participate annually in the Annual Conference for
National Alliance to End Homelessness, Metro Denver Homeless Initiative
Trainings, etc.
- Family Services Service Coordinator participates actively in the local chapter of the
American Association of Service Coordinators (AASC) and attends the Annual
AASC Conference.
- Assisted Housing staff are all encouraged to participate in regional NAHRO
trainings and other relevant trainings offered through organizations that specialize
in Assisted Housing (e.g., NelRod, Nan McKay, Quadel, etc.).
- Board members attended NAHRO conferences held throughout the year
- Cross training between departments took place: Property Management provided liaisons to
Development, the Assisted Housing department provided training for Property Managers
in 2015
- Ongoing replacement of office equipment occurred throughout 2015-2019 – computers
replaced on a quarterly basis; per needed hardware upgrades – copiers, computer hardware;
software upgrades were done; converted Outlook to the cloud/Office365
- Selected a software provider to convert Section 8 files to digital format and plan to pursue
implementing it either late 2019 or 2020.

G. PHA Goal: Commit Aurora Housing Authority resources to build community at AHA and
AHA-managed properties.
1. Objective: Continue to provide community building services at 5 AHA owned and managed
properties (4 family properties and 1 senior property).
2015-2019 Strategies
- Continue to increase resident access to service through the efforts of the Community
Builders, Service Coordinators and Family Advocates.
- Continue to promote a greater sense of community at all AHA properties through
presentations, activities, and events that are collaboratively offered by Property
Management and Community Building.
- Promote increased resident ownership of and leadership of all Resident
Councils/Associations.

2015-2019 Strategies Progress Report:
- Community Builders and the Service Coordinator worked to increase resident access to
services and benefits through advocacy and referral work.
- Community Builders and the Service Coordinator continued to promote a greater sense of
community at all AHA properties through presentations, activities, and events.
- Using the Asset Based Community Development model, Resident Councils decide what
they most want and need at their properties which, in turn, promotes increased resident
ownership of and leadership of all Resident Councils.
H. **PHA Goal:** Improve the quality and value of PHA Goals:

**Objective:** Improve the quality of and value of AHA and AHA-managed investments while meeting the housing needs of low income families.

**2015-2019 Strategies**

- Maintain and update books for each property that includes warranty information, systems, vendors, number and size of units, maps, floor plans, procedures (including but not limited to snow removal, emergency, etc).
- Maintain and update the Operation Manuals for the Property Management Department including rules for resident criteria, eligibility, standard leases, weekly reports, work order instructions and priorities, preventative maintenance, and property standards.
- Through the Employee of the Quarter program and other forms of employee recognition for achievements instill in AHA staff members a sense of pride in managing AHA properties effectively.
- Continue to provide the funds and resources that are necessary manage AHA assets by making capital improvements.

**2015-2019 Strategies Progress Report:**

- In 2019, AHA hired a Facilities and Asset Manager to oversee maintenance and upkeep on all existing AHA property; provide training to Property Maintenance Staff; and coordinate with the Development Department to integrate ‘best practices’ into all new developments. The Facilities and Asset Manager will also provide oversight on capital improvement project planning.
- The Operations Manual for the Property Management Department is updated on an as needed basis throughout the year.
- The Employee of the Quarter program was implemented throughout 2015-2019 and continues to recognize outstanding staff through nominations by fellow staff.
- Property Management staff utilized Move in Success – a service that provides interactive video tutorials that covers the lease summary and move-ins. In 2015-16, additional languages were added: Spanish, Korean, Karenni, Vietnamese, and Somali.

I. **PHA Goal:** Continue an active partnership with the City of Aurora, Adams County, and Arapahoe County on its efforts to revitalize neighborhoods.

1. **Objective:** See Goal Above

**2015-2019 Strategies**

- AHA pursues opportunities when appropriate to receive the City of Aurora’s federal HOME and CDBG funds.
- AHA will continue to partner with the City of Aurora and the City of Denver on the development of a “Catalytic Project” (funded by the Denver Regional Council of Governments) around a new commuter rail stop in Aurora. The projected 180-unit development is on a 5+ acre parcel owned by the Aurora Housing Authority located within a ½ mile of the new rail station.
- AHA will continue to partner with the City of Aurora Community Development staff through the state developed “Housing Toolkit” on the creation of permanent supportive housing on land owned by the City which will be developed by AHA.
- AHA will continue serving as the administrator and fiscal agent for Aurora@Home, the City of Aurora’s plan to address homeless families with dependent children.
2015-2019 Strategies Progress Report:

- First Avenue: The City of Aurora provided HOME funds for the reroofing of this property containing 181 units of affordable housing.
- VWC3: The City of Aurora and Arapahoe County provided HOME funding for the construction of 74 affordable rental units for seniors and families.
- Peoria Crossing: The City of Aurora provided HOME funding for the construction of a 82 unit affordable rental property for single and family household.
- Willow Park: The City of Aurora provided CDBG funds for the reroofing of this property containing 68 units of Project Based Rental Assistance.
- Provided 9 Project-Based Section 8 vouchers to Paris Family Apartments developed by Brothers Redevelopment in partnership with the City of Aurora’s Community Development office and through the state developed “Housing Toolkit”.
- AHA continues to serve as the Aurora@Home (A@H) Plan Administrator and has received federal HOME and CDBG funds through Arapahoe County, City of Aurora and Division of Housing to help provide tenant-based rental assistance to participant families.

J. PHA Goal: Expand and diversify AHA and AHA-managed portfolio through acquisitions and new developments.

1. Objective: Identify strategies by which to expand and diversify AHA and AHA managed portfolio through acquisitions and new developments.

2015-2019 Strategies

- AHA is looking at different development scenarios for 5-acre vacant parcel at 30th & Peoria. The goal is to construct approximately 180 new units for families on this site.
- Subsequent to the completion of the second phase of Village at Westerly Creek and the demolition of the remaining Buckingham Gardens units, AHA will have approximately 5 acres on which to develop additional low- and moderate-income housing.
- AHA continues to work on developing the portfolio to meet the needs of larger family units. Our last two multifamily developments had significant numbers of three and four bedroom units to meet this growing need.
- Explore the development of veteran housing on or near the Fitzsimons medical campus through the use of project-based VASH, GPD or other funding streams.

2015-2019 Strategies Progress Report:

- Peoria Crossing, an 82 unit development that will house families and Veterans, was completed October 2019 and now occupies half of the 5-acre parcel at 30th and Peoria St.
- AHA developed the remaining five acres from Buckingham Gardens into two types of housing: Exponent provides 50 two-, three-, and four-bedroom affordable units of family rental housing and VWC3 provide 24 one-bedroom fully accessible affordable units of senior rental housing.
- AHA will apply for 9% LIHTC in 2019 to develop 50, independent living units for 55+ designated for Veterans, Veteran spouses and Gold Star Family members near the Fitzsimons medical campus.
K. PHA Goal: Review housing needs in the market area and strategize to create housing for veterans, homeless, and other communities with special needs.

1. Objective: Strategize to create housing for people experiencing homelessness.

2015-2019 Strategies

- Identify any opportunities that might exist to apply for VASH that can be project-based.
- Identify land that can be acquired and funds that would be necessary to create and support housing for homeless veterans.
- Continue servings as the sub-recipient of Family Rapid Re-Housing (SHP Demo) funding through Colorado Coalition for the Homeless who is the recipient. Funds from this program serve families with income who are currently homeless for 6 mos. with the goal of getting the families housed and stabilized as quickly as possible. AHA provides intake, screening and housing case management for this program.
- Continue to participate in the Leadership Group and Design Team for the Metro Denver 25 Cities Initiative to identify additional homeless populations and to implement a new Coordinated Intake and Assessment (VI-SPDAT) tool and new matching program that links specific homeless individuals to appropriate housing opportunities with availability.
- Continue collaboration with Community Development of the City of Aurora participated in a Colorado initiative to implement a housing toolkit designed to address the need for permanent supportive housing.

2015-2019 Strategies Progress Report:

- AHA, responding to a Request for Proposals from the Colorado Department of Human Services was awarded a three-acre parcel on the Fitzsimons Campus to develop 50 units of affordable rental housing for senior Veterans.
- Awarded 32 VASH Vouchers; 10 will be project based at Peoria Crossing, AHA’s transit oriented development, multi-family development.
- Awarded 40 Mainstream Vouchers in 2018 to serve families with a disabled household member.
- Awarded 227 Tenant Protection Vouchers for Moderate Rehabilitation opt-outs.
- Continued serving as the sub-recipient of Family Rapid Re-Housing funding through Colorado Coalition for the Homeless who is the recipient.
- Actively participated in the Leadership Group and Design Team for the Metro Denver 25 Cities Initiative while it was convened.
- Participated actively in Metro Denver Homeless Initiatives implementation of the Coordinated Intake and Assessment (VI-SPDAT) tool, OneHome (the Coordinated Intake tool) and HMIS use.
- AHA continues to serve as the Plan Administrator for Aurora@Home the City’s plan to address homelessness.

L. PHA Goal: Establish AHA policy to better meet unmet community needs.

Objective: Partner with other Aurora organizations in implementing the City of Aurora’s plan to address homelessness among families with dependent children, Aurora@Home.
2015-2019 Strategies

- Continue serving in role of administrator and primary fiscal agent for Aurora@Home in 2011 until no longer needed.
- AHA leadership staff members will convene and lead Aurora@Home’s Governing Board and Operations Committee.
- AHA will continue to supervise the Aurora Home Navigator (housing case manager).

2015-2019 Strategies Progress Report:

Aurora@Home:

- Continued serving in role of Plan Administrator and as one of the fiscal agent for Aurora@Home. Aurora@Home is a collaborative of approximately 18 Aurora-based organizations.
- AHA’s Executive Director convened Aurora@Home’s Governing Board from 2011-2017.
- AHA’s Deputy E.D. of Housing and Family Services continues to convene the Aurora@Home Operations Committee.
- AHA hires one Aurora@Home Navigator (case manager) who serves 25-35 families per year (depending on funding availability) and contracts with the individual who provides Landlord Recruitment for participants in Aurora@Home. The Landlord Recruiter identifies potential owners/property managers willing to work with AHA and Aurora@Home and assists participants through the housing search, lease up and, when needed, mediation.

Objective: Assess the feasibility of mixed-income development through public-private partnerships.

2015-2019 Strategies

- Explore new opportunities to increase affordable housing development through public-private partnership.

2015-2019 Strategies Progress Report:

- In 2019, AHA revised its Special Limited Partnership criteria and policy to assist non- and for-profit developers in the development of affordable rental housing. Colorado Statute allows for the waiver of all taxes and fees by the local jurisdiction to properties in which AHA, or an affiliated entity, is an owner. During 2019, AHA closed on five development for a total of 462 units of affordable rental housing.

M. PHA Goal: Strengthen agency infrastructure.

1. Objective: Identify ways to strengthen the agency’s infrastructure.

2015-2019 Strategies

- AHA will continue to develop and implement policies and procedures to assist in the day to day management of the organization as needed.
- AHA will evaluate the current quarterly employee recognition program to determine if changes need to be made.
2015-2019 Strategies Progress Report:
- A new employee handbook was developed and distributed to staff that improved efficiencies in locating policies and procedures in one place
- An employee wellness program was implemented to engage staff and encourage wellbeing. Activities included: healthy eating classes, monthly newsletters, and monthly challenges with rewards
- AHA continues to provide all staff with the benefit of the Employee Assistance Program
- The Employee of the Quarter Award program has been a success, changes are not anticipated

N. PHA Goal. AHA will develop and nurture a positive image in our community.
1. Objective: Identify strategies that further develop and nurture AHA’s positive image in the community.
2015-2019 Strategies Progress Report:
- Created a video that promoted AHA’s impact in the community through the voices of our residents
- Created and distributed Annual Reports that provides a yearly report on the activities and finances to our partners and the community
- Sponsored various community events for local nonprofit organizations
- Collaborated with Denver Housing Authority and Stanley Marketplace on the Giving Wall – a holiday gift drive for families in the FUP, VASH and A@H program
- Received $4,255 from the holiday donation drive that benefitted residents at Summersong, Trolley, Willow park
- The Board invited City Council members to tour Exponent, VWC3 and continue to invite them to AHA events such as ground breaking ceremonies and grand openings
- In 2015 - Village at Westerly Creek, Phase 2 won Housing Colorado’s People’s Choice Eagle Award.
- In 2019 - Village at Westerly Creek, Phase 3 won Novogradac’s Development of Distinction Award and Affordable Housing Finance’s Reader’s Choice Award/Public Housing Redevelopment.

C. Annual Plan Elements Submitted All Other Years (Years 1-4). Required elements for all other fiscal years. This section does not need to be completed in years when a PHA is submitting its 5-Year PHA Plan.

C.1 New Activities
(a) Does the PHA intend to undertake any new activities related to the following in the PHA’s current Fiscal Year?

<table>
<thead>
<tr>
<th>Y</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>
Security Grants).

(b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process.

(c) If using Project-Based Vouchers, provide the projected number of project-based units, general locations, and describe how project-basing would be consistent with the PHA Plan.

(d) The PHA must submit its Deconcentration Policy for Field Office Review.

<table>
<thead>
<tr>
<th>C.2</th>
<th>Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Form 50077-SM, Certification of Compliance with PHA Plans and Related Regulations, including Item 5 must be submitted by the PHA as an electronic attachment to the PHA Plan. Item 5 requires certification on whether plan elements have been revised, provided to the RAB for comment before implementation, approved by the PHA board, and made available for review and inspection by the public.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>D</th>
<th>Other Document or Certification Requirements for Annual Plan Submissions. Required in all submission years.</th>
</tr>
</thead>
<tbody>
<tr>
<td>D.1</td>
<td>Civil Rights Certification. Form 50077-SM-HP, Certification of Compliance with PHA Plans and Related Regulations, must be submitted by the PHA as an electronic attachment to the PHA Plan.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>D.2</th>
<th>Resident Advisory Board (RAB) Comments.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(a) Did the RAB(s) provide comments to the PHA Plan?</td>
</tr>
<tr>
<td></td>
<td>Y  N</td>
</tr>
<tr>
<td></td>
<td>☑  ☐</td>
</tr>
</tbody>
</table>

If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.

The Resident Advisory Council met at Village at Westerly Creek on Tuesday, September 24, 2019 at 5:30 p.m. The Council supported the 2020 Annual Plan as presented here without comment. Since there are no current public housing residents, this Council consists of representatives from AHA owned properties and special voucher programs. Please see Attachment B.

<table>
<thead>
<tr>
<th>D.3</th>
<th>Certification by State or Local Officials.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Form HUD 50077-SL, Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, must be submitted by the PHA as an electronic attachment to the PHA Plan.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>E</th>
<th>Statement of Capital Improvements. Required in all years for all PHAs completing this form that administer public housing and receive funding from the Capital Fund Program (CFP).</th>
</tr>
</thead>
<tbody>
<tr>
<td>E.1</td>
<td>Capital Improvements. Include a reference here to the most recent HUD-approved 5-Year Action Plan (HUD-50075.2) and the date that it was approved by HUD.</td>
</tr>
</tbody>
</table>

The most recent 5-Year Action Plan (HUD 50075.2) was submitted with the 2015-2019 5-Year Plan and approved by HUD on November 24, 2015. A revised version of the 2015-2019 5-Year Plan was approved by...
for Preparation of Form HUD-50075-SM
Annual Plan for Small and High Performing PHAs

A. PHA Information. All PHAs must complete this section.

A.1 Include the full PHA Name, PHA Code, PHA Type, PHA Fiscal Year Beginning (MM/YYYY), PHA Inventory, Number of Public Housing Units and or Housing Choice Vouchers (HCVs), PHA Plan Submission Type, and the Availability of Information, specific location(s) of all information relevant to the public hearing and proposed PHA Plan. (24 CFR §903.23(4)(e))

PHA Consortia: Check box if submitting a Joint PHA Plan and complete the table. (24 CFR §943.128(a))

B. Annual Plan. PHAs must complete this section during years where the 5-Year Plan is also due. (24 CFR §903.12)

B.1 Revision of PHA Plan Elements. PHAs must:

Identify specifically which plan elements listed below that have been revised by the PHA. To specify which elements have been revised, mark the “yes” box. If an element has not been revised, mark “no.”

☐ Statement of Housing Needs and Strategy for Addressing Housing Needs. Provide a statement addressing the housing needs of low-income, very low-income and extremely low-income families and a brief description of the PHA’s strategy for addressing the housing needs of families who reside in the jurisdiction served by the PHA. The statement must identify the housing needs of (i) families with incomes below 30 percent of area median income (extremely low-income), (ii) elderly families and families with disabilities, and (iii) households of various races and ethnic groups residing in the jurisdiction or on the waiting list based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. For years in which the PHA’s 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA’s public housing and Section 8 tenant-based assistance waiting lists. 24 CFR §903.7(a)(1) and 24 CFR §903.12(b). Provide a description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. For years in which the PHA’s 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA’s public housing and Section 8 tenant-based assistance waiting lists. 24 CFR §903.7(a)(2)(ii) and 24 CFR §903.12(b).

☐ Deconcentration and Other Policies that Govern Eligibility, Selection and Admissions. Describe the PHA’s admissions policy for deconcentration of poverty and income mixing of lower-income families in public housing. The Deconcentration Policy must describe the PHA’s policy for bringing higher income tenants into lower income developments and lower income tenants into higher income developments. The deconcentration requirements apply to general occupancy and family public housing developments. Refer to 24 CFR §903.2(b)(2) for developments not subject to deconcentration of poverty and income mixing requirements. 24 CFR §903.7(b) Describe the PHA’s procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists. 24 CFR §903.7(b) A statement of the PHA’s policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV. (24 CFR §903.7(b) Describe the unit assignment policies for public housing. 24 CFR §903.7(b)

☐ Financial Resources. A statement of financial resources, including a listing by general categories, of the PHA’s anticipated resources, such as PHA operating, capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources. (24 CFR §903.7(c)

☐ Rent Determination. A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units, including applicable public housing flat rents, minimum rents, voucher family rent contributions, and payment standard policies. (24 CFR §903.7(d)
Homeownership Programs. A description of any homeownership programs (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval. For years in which the PHA’s 5-Year PHA Plan is also due, this information must be included only to the extent that the PHA participates in homeownership programs under section 8(y) of the 1937 Act. (24 CFR §903.7(k) and 24 CFR §903.12(b).

Substantial Deviation. PHA must provide its criteria for determining a “substantial deviation” to its 5-Year Plan. (24 CFR §903.7(r)(2)(i))

Significant Amendment/Modification. PHA must provide its criteria for determining a “Significant Amendment or Modification” to its 5-Year and Annual Plan. Should the PHA fail to define ‘significant amendment/modification’, HUD will consider the following to be ‘significant amendments or modifications’: a) changes to rent or admissions policies or organization of the waiting list; b) additions of non-emergency public housing CFP work items (items not included in the current CFP Annual Statement or CFP 5-Year Action Plan); or c) any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. See guidance on HUD’s website at: Notice PIH 1999-51. (24 CFR §903.7(r)(2)(ii)

If any boxes are marked “yes”, describe the revision(s) to those element(s) in the space provided.

PHA must submit a Deconcentration Policy for Field Office review. For additional guidance on what a PHA must do to deconcentrate poverty in its development and comply with fair housing requirements, see 24 CFR 903.2. (24 CFR §903.23(b))

B.2 New Activities. If the PHA intends to undertake any new activities related to these elements or discretionary policies in the current Fiscal Year, mark “yes” for those elements, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake these activities, mark “no.”

Hope VI or Choice Neighborhoods. 1) A description of any housing (including project name, number (if known) and unit count) for which the PHA will apply for HOPE VI; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI is a separate process. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm. (Notice PIH 2010-30)

Mixed Finance Modernization or Development. 1) A description of any housing (including name, project number (if known) and unit count) for which the PHA will apply for Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Mixed Finance Modernization or Development is a separate process. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm. (Notice PIH 2010-30)

Demolition and/or Disposition. Describe any public housing projects owned by the PHA and subject to ACCs (including name, project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm. (24 CFR §903.7(h))

Conversion of Public Housing. Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA is required to convert or plans to voluntarily convert to tenant-based assistance; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm. (24 CFR §903.7(j))

Project-Based Vouchers. Describe any plans to use HCVs for new project-based vouchers. (24 CFR §983.57(b)(1)) If using project-based vouchers, provide the projected number of project-based units and general locations, and describe how project-basing would be consistent with the PHA Plan.

Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).
B.3 Progress Report. For all Annual Plans following submission of the first Annual Plan, a PHA must include a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year PHA Plan. (24 CFR §903.7(r)(1))

C. Annual Plan. PHAs must complete this section during years where the 5-Year Plan is not due. (24 CFR §903.12)

C.1 New Activities. If the PHA intends to undertake any new activities related to these elements in the current Fiscal Year, mark “yes” for those elements, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake these activities, mark “no.”

☐ Hope VI or Choice Neighborhoods. 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Choice Neighborhoods; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI or Choice Neighborhoods is a separate process. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm. (Notice PIH 2010-30)

☐ Mixed Finance Modernization or Development. 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Mixed Finance Modernization or Development is a separate process. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm. (Notice PIH 2010-30)

☐ Demolition and/or Disposition. Describe any public housing projects owned by the PHA and subject to ACCs (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm. (24 CFR §903.7(h))

☐ Conversion of Public Housing. Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA is required to convert or plans to voluntarily convert to tenant-based assistance; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm. (24 CFR §903.7(j))

☐ Conversion of Public Housing. Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA plans to voluntarily convert to project-based assistance under RAD. See additional guidance on HUD’s website at: Notice PIH 2012-32

☐ Project-Based Vouchers. Describe any plans to use HCVs for new project-based vouchers. (24 CFR §983.57(b)(1)) If using project-based vouchers, provide the projected number of project-based units and general locations, and describe how project-basing would be consistent with the PHA Plan.

☐ Units with Approved Vacancies for Modernization. The PHA must include a statement related to units with approved vacancies that are undergoing modernization in accordance with 24 CFR §990.145(a)(1).

☐ Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).

C.2 Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan. Provide a certification that the following plan elements have been revised, provided to the RAB for comment before implementation, approved by the PHA board, and made available for review and inspection by the public. This requirement is satisfied by completing form HUD-50077 SM-HP.

D. Annual Plan. PHAs must complete this section in all years.

D.1 Civil Rights Certification. Form HUD-50077 SM-HP, PHA Certifications of Compliance with the PHA Plans and Related Regulation, must be submitted by the PHA as an electronic attachment to the PHA Plan. This includes all
certifications relating to Civil Rights and related regulations. A PHA will be considered in compliance with the AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction’s initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction. (24 CFR §903.7(o))

D.2 Resident Advisory Board (RAB) comments. If the RAB provided comments to the annual plan, mark “yes,” submit the comments as an attachment to the Plan and describe the analysis of the comments and the PHA’s decision made on these recommendations. (24 CFR §903.13(c), 24 CFR §903.19)

D.3 Certification by State or Local Officials. Form HUD-50077-SL, Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, must be submitted by the PHA as an electronic attachment to the PHA Plan. (24 CFR §903.15)

E. Statement of Capital Improvements. PHAs that receive funding from the Capital Fund Program (CFP) must complete this section. (24 CFR 903.7(g))

E.1 Capital Improvements. In order to comply with this requirement, the PHA must reference the most recent HUD approved Capital Fund 5 Year Action Plan. PHAs can reference the form by including the following language in Section C. 8.0 of the PHA Plan Template: “See HUD Form 50075.2 approved by HUD on XX/XX/XXXX.”

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the 5-Year and Annual PHA Plan. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA’s operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA’s mission, goals and objectives for serving the needs of low-income, very low-income, and extremely low-income families.

Public reporting burden for this information collection is estimated to average 16.64 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.