

**CERTIFICATION TO QUALIFY AS AN ELIGIBLE
SECTION 3 BUSINESS CONCERN
INCLUDE WITH BID**

QUALIFICATION AS A SMALL BUSINESS (SEE ATTACHED REGULATIONS)

CHECK ONE:

General Contractor – annual net sales under \$12,000,000/based on average annual receipts for proceeding three years.

Sub-contractor – annual net sales under \$5,000,000

SECTION 3 PROJECT AREA BUSINESS:

Business Address _____

INDICATE TYPE OF BUSINESS (CHECK ONE)

A. Sole Proprietorship

B. Partnership or Joint Venture

C. Corporation

Indicate Residential Address of Principle Owner(s)

% of Ownership

Signature

PROPOSED SUB-CONTRACTORS LIST

ITEM _____

Proposed Sub-Contractor, Name _____

Business Address _____

Small	Yes	No	Section	Yes	No	Minority	Yes	No
Business	<input type="checkbox"/>	<input type="checkbox"/>	3*	<input type="checkbox"/>	<input type="checkbox"/>	Business	<input type="checkbox"/>	
						<input type="checkbox"/>		

ITEM _____

Proposed Sub-Contractor, Name _____

Business Address _____

Small	Yes	No	Section	Yes	No	Minority	Yes	No
Business	<input type="checkbox"/>	<input type="checkbox"/>	3*	<input type="checkbox"/>	<input type="checkbox"/>	Business	<input type="checkbox"/>	<input type="checkbox"/>

ITEM _____

Proposed Sub-Contractor, Name _____

Business Address _____

Small	Yes	No	Section	Yes	No	Minority	Yes	No
Business	<input type="checkbox"/>	<input type="checkbox"/>	3*	<input type="checkbox"/>	<input type="checkbox"/>	Business	<input type="checkbox"/>	<input type="checkbox"/>

ITEM _____

Proposed Sub-Contractor, Name _____

Business Address _____

Small Business	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Section 3*	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Minority Business	Yes <input type="checkbox"/>	No <input type="checkbox"/>
-----------------------	--	---------------------------------------	-------------------	--	---------------------------------------	--------------------------	--	---------------------------------------

*Small Business=Average annual receipts under \$5,000,000

*Section 3=Business located in or owned in substantial part by persons residing in the City of Aurora. *Minority Business Enterprise=At least 50% of which is owned by minority group members, or in case of a Publicly owned business, 51% of the stock of which is owned by minority group members.

HUD/CD10

CONTRACTOR'S BACKGROUND INFORMATION

Project No. _____ Location _____

Project Name _____

Contractor Name _____ Prime Sub

Address _____ Phone _____

Amount of Contract \$ _____ Type of Construction _____

Date Your Construction Starts _____ Complete _____ Est. Completion Date _____

Contractor's other Federal and Federally Assisted Contracts _____

Contractor's EEO Officer _____

Contractor's trade Organization Affiliations _____

Extent of Training Activities _____

Does training include Minorities? Yes No

Name recruitment sources utilized _____

Union or Open Shop (Name Locals if Union) _____

Do Unions have Minority Membership? Yes No

Is Minority Recruitment Restricted by Labor Unions? Yes No

EEO Policy Published? Yes No If yes, Attach a Copy

Policy Disseminated? Yes No If Yes, How? _____

1. AMERICAN REINVESTMENT AND RECOVERY ACT (ARRA) REQUIREMENTS

All contracts supported in whole or in part with Community Development Block Grant - Recovery (CDBG-R) funds are covered by additional requirements listed in the American Reinvestment and Recovery Act, as follows:

1.1. DUNS Number

All contractors desiring to participate in a CDBG-R assisted project must obtain a Data Universal Numbering System (DUNS) number. Contractors may obtain a DUNS number via the web at: <http://fedgov.dnb.com/webform>. The awarded contractor may also be required to register with the Central Contractor Registration (CCR) database. The contractor will be advised as to the applicability of this requirement at a later date. More information about the CCR may be obtained at: <http://www.ccr.gov/>.

1.2. Reporting of Job Creation and/or Retention

Section 1512 of the Recovery Act requires that, not later than 10 days after the end of each calendar quarter, the City of Aurora, as a recipient of recovery funds from HUD, submit a report to HUD that contains (among other items) an estimate of the number of jobs created and the number of jobs retained by each funded project or activity. In light of this requirement, CD is requiring job creation/retention reporting from the Prime Contractor on applicable projects **within 5 days of the end of each calendar month**. The job information reported by the Prime Contractor shall include information from all sub-contractors regardless of contract amount.

At the time of contracting, the Prime Contractor (PC) shall present completed Preliminary Workforce Statements (HUD/CD Form 4) for the PC and all proposed or confirmed sub-contractors.

BIDDERS ARE HEREBY NOTIFIED THAT THE AWARDED PRIME CONTRACTOR WILL BE REQUIRED TO DOCUMENT AND REPORT – ON A MONTHLY BASIS, AS STIPULATED ABOVE – JOB CREATION AND/OR RETENTION ASSOCIATED WITH THE PROJECT. THE PRIME CONTRACTOR WILL BE RESPONSIBLE FOR OBTAINING THIS INFORMATION FROM ALL SUBCONTRACTORS.

1.2.1. Promotion of Local Hiring

For those portions of the work that will require the hiring of new or additional employees, all contractors performing under this contract shall make a good-faith effort to recruit employment candidates from the City of Aurora.

BIDDERS ARE HEREBY NOTIFIED THAT THE PRIME CONTRACTOR AWARDED THE PROJECT WILL BE REQUIRED TO DOCUMENT GOOD-FAITH EFFORTS TO EMPLOY PERSONS RESIDING IN THE CITY OF AURORA, INCLUDING EFFORTS BY THEIR SUBCONTRACTORS.